

## FORM NO. MGT 9

EXTRACT OF ANNUAL RETURN  
As on financial year ended on 31.03.2021

Pursuant to Section 92 (3) of the Companies Act, 2013 and rule 12(1) of the Company (Management &amp; Administration) Rules, 2014.

## I. REGISTRATION &amp; OTHER DETAILS:

1	CIN	L31500MH2005PLC155278
2	Registration Date	11th August, 2005
3	Name of the Company	FOCUS LIGHTING AND FIXTURES LIMITED
4	Category/Sub-category of the Company	COMPANY LIMITED BY SHARES INDIAN NON GOVERNMENT COMPANY
5	Address of the Registered office & contact details	1007-1010, Corporate Avenue Wing A, Sonawala Lane, Goregaon (East), Mumbai-400063 E - mail:cs@pluslighttech.com Website:www.pluslighttech.com Telephone:+91-22-26865671-5
6	Whether listed company	Yes (SME platform of National Stock Exchange of India Limited)
7	Name, Address & contact details of the Registrar & Transfer Agent, if any.	Bigshare Services Private Limited 1st Floor, Bharat Tin Works Building, Opp. Vasant Oasis Apartments (Next to Keys Hotel), Marol Maroshi Road, Andheri East, Mumbai 400059 Tel: +91-22-6263 8200 Fax: +91-22-6263 8299 E-mail: investor@bigshareonline.com Website:www.bigshareonline.com

## II. PRINCIPAL BUSINESS ACTIVITIES OF THE COMPANY

(All the business activities contributing 10 % or more of the total turnover of the company shall be stated)

S. No.	Name and Description of main products / services	NIC Code of the Product/service	% to total turnover of the company
1	Plus Fittings	2740	64%
2	Track Accessories	2740	4%
3	Trix Fittings	2740	12%
4	LED and parts of Fitting	2740	11%
5	Other Fittings	2740	8%

## III. PARTICULARS OF HOLDING, SUBSIDIARY AND ASSOCIATE COMPANIES

SN	Name and address of the Company	CIN/GLN	Holding/ Subsidiary/ Associate	% of shares held	Applicable Section
1	Plus Light Tech FZE Block D1 - 19, Free Zone Gater No. 1 Ajman Free Zone, Ajman, United Arab Emirates	NA	Subsidiary	100%	2(87)
2	Focus Lighting & Fixtures PTE LTD 8 Temasek Boulevard #35-02 Sunteck Tower Three Singapore 038988	NA	Subsidiary	100%	2(87)
3	Focus Lighting & Fixtures PTE LTD 8 Temasek Boulevard #35-02 3500 South Dupont Highway, Dover, Delaware (19901)	NA	Subsidiary	100%	2(87)

## IV. SHARE HOLDING PATTERN

## (i) Statement Showing Shareholding Pattern

Sr. No.	Category of Shareholder	No. of Shares held at the beginning of the year: 30/03/2020				No. of Shares held at the end of the year: 31/03/2021				% Change
		Demat	Physical	Total Shares	Total %	Demat	Physical	Total Shares	Total %	
<b>(A) Shareholding of Promoter and Promoter Group</b>										
1	<b>Indian</b>									
a)	INDIVIDUAL / HUF	6845211	0	6845211	67.53	6845211	0	6845211	67.53	0.00
b)	Central / State government(s)	0	0	0	0.00	0	0	0	0.00	0.00
c)	BODIES CORPORATE	590580	0	590580	5.83	590580	0	590580	5.83	0.00
d)	FINANCIAL INSTITUTIONS / BANKS	0	0	0	0.00	0	0	0	0.00	0.00
e)	ANY OTHERS (Specify)									
1	GROUP COMPANIES	0	0	0	0.00	0	0	0	0.00	0.00
2	TRUSTS	0	0	0	0.00	0	0	0	0.00	0.00
3	DIRECTORS RELATIVES	0	0	0	0.00	0	0	0	0.00	0.00
	<b>SUB TOTAL :</b>	<b>7435791</b>	<b>0</b>	<b>7435791</b>	<b>73.36</b>	<b>7435791</b>	<b>0</b>	<b>7435791</b>	<b>73.36</b>	<b>0.00</b>
<b>(A) Shareholding of Promoter and Promoter Group</b>										
2	<b>Foreign</b>									
a)	BODIES CORPORATE	0	0	0	0.00	0	0	0	0.00	0.00
b)	INDIVIDUAL	0	0	0	0.00	0	0	0	0.00	0.00
c)	INSTITUTIONS	0	0	0	0.00	0	0	0	0.00	0.00
d)	QUALIFIED FOREIGN INVESTOR	0	0	0	0.00	0	0	0	0.00	0.00
e)	ANY OTHERS (Specify)	0	0	0	0.00	0	0	0	0.00	0.00
	<b>SUB TOTAL :</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0.00</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0.00</b>	<b>0.00</b>

<b>(A) Shareholding of Promoter and Promoter Group</b>										
3	<b>Non-institutions</b>									
a)	ANY OTHERS (Specify)									
1	DIRECTORS RELATIVES	0	0	0	0.00	0	0	0	0.00	0.00
	<b>SUB TOTAL :</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0.00</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0.00</b>	<b>0.00</b>
	<b>Total Public Shareholding</b>	<b>7435791</b>	<b>0</b>	<b>7435791</b>	<b>73.36</b>	<b>7435791</b>	<b>0</b>	<b>7435791</b>	<b>73.36</b>	<b>0.00</b>
<b>(B) Public shareholding</b>										
3	<b>Institutions</b>									
a)	Central / State government(s)	0	0	0	0.00	0	0	0	0.00	0.00
b)	FINANCIAL INSTITUTIONS / BANKS	0	0	0	0.00	0	0	0	0.00	0.00
c)	MUTUAL FUNDS / UTI	0	0	0	0.00	0	0	0	0.00	0.00
d)	VENTURE CAPITAL FUNDS	0	0	0	0.00	0	0	0	0.00	0.00
e)	INSURANCE COMPANIES	0	0	0	0.00	0	0	0	0.00	0.00
f)	FII'S	0	0	0	0.00	0	0	0	0.00	0.00
g)	FOREIGN VENTURE CAPITAL INVESTORS	0	0	0	0.00	0	0	0	0.00	0.00
h)	QUALIFIED FOREIGN INVESTOR	0	0	0	0.00	0	0	0	0.00	0.00
i)	ANY OTHERS (Specify)	0	0	0	0.00	0	0	0	0.00	0.00
j)	FOREIGN PORTFOLIO INVESTOR	0	0	0	0.00	0	0	0	0.00	0.00
k)	ALTERNATE INVESTMENT FUND	0	0	0	0.00	0	0	0	0.00	0.00
	<b>SUB TOTAL :</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0.00</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0.00</b>	<b>0.00</b>
<b>(B) Public shareholding</b>										
4	<b>Non-institutions</b>									
a)	BODIES CORPORATE	174000	0	174000	1.72	228000	0	228000	2.25	0.53
b)	INDIVIDUAL									
1	(CAPITAL UPTO TO Rs. 1 Lakh)	669006	0	669006	6.60	570006	0	570006	5.62	(0.98)
2	(CAPITAL GREATER THAN Rs. 1 Lakh)	1578003	0	1578003	15.57	1824003	0	1824003	18.00	2.43
c)	ANY OTHERS (Specify)									
1	HINDU UNDIVIDED FAMILY	174000	0	174000	1.72	30000	0	30000	0.30	(1.42)
2	TRUSTS	0	0	0	0.00	0	0	0	0.00	0.00
3	CLEARING MEMBER	27000	0	27000	0.27	0	0	0	0.00	(0.27)
4	NON RESIDENT INDIANS (NRI)	66000	0	66000	0.65	48000	0	48000	0.47	(0.18)
5	DIRECTORS RELATIVES	0	0	0	0.00	0	0	0	0.00	0.00
6	MARKET MAKER	12000	0	12000	0.12	0	0	0	0	(0.12)
7	EMPLOYEE	0	0	0	0.00	0	0	0	0.00	0.00
8	OVERSEAS BODIES CORPORATES	0	0	0	0.00	0	0	0	0.00	0.00
9	UNCLAIMED SUSPENSE ACCOUNT	0	0	0	0.00	0	0	0	0.00	0.00
10	IEPF	0	0	0	0.00	0	0	0	0.00	0.00
d)	QUALIFIED FOREIGN INVESTOR	0	0	0	0.00	0	0	0	0.00	0.00
	<b>SUB TOTAL :</b>	<b>2700009</b>	<b>0</b>	<b>2700009</b>	<b>26.64</b>	<b>2700009</b>	<b>0</b>	<b>2700009</b>	<b>26.64</b>	<b>(0.00)</b>
	<b>Total Public Shareholding</b>	<b>2700009</b>	<b>0</b>	<b>2700009</b>	<b>26.64</b>	<b>2700009</b>	<b>0</b>	<b>2700009</b>	<b>26.64</b>	<b>(0.00)</b>
<b>(C) Shares held by Custodians and against which Depository Receipts have been issued</b>										
5	<b>Non-institutions</b>									
f)	SHARES HELD BY CUSTODIANS	0	0	0	0.00	0	0	0	0.00	0.00
2	Promoter and Promoter Group	0	0	0	0.00	0	0	0	0.00	0.00
3	Public	0	0	0	0.00	0	0	0	0.00	0.00
	<b>SUB TOTAL :</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0.00</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0.00</b>	<b>0.00</b>
	<b>Total Public Shareholding</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0.00</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0.00</b>	<b>0.00</b>
	<b>GRAND TOTAL</b>	<b>10135800</b>	<b>0</b>	<b>10135800</b>	<b>100.00</b>	<b>10135800</b>	<b>0</b>	<b>10135800</b>	<b>100.00</b>	<b>(0.00)</b>

<b>(ii) Shareholding of Promoter</b>								
Sl No	Shareholder's Name	Shareholding at beginning of the year 30/03/2020			Shareholding at the end of the year 31/03/2021			
		No of Shares	% of total Shares of the Company	% of Shares pledged/encumbered to total Shares	No of Shares	% of total Shares of the Company	% of Shares pledged/encumbered to total Shares	% Change
1	AMIT V SHETH	6845211	67.5350	0.0000	6845211	67.5350	0.0000	0.0000
2	SRI JAY PHARMA EXIM PRIVATE LIMITED	590580	5.8267	0.0000	590580	5.8267	0.0000	0.0000
4	DEEPAJI AMIT SHETH	0	0.0000	0.0000	0	0.0000	0.0000	0
	<b>TOTAL</b>	<b>7435791</b>	<b>73.3617</b>	<b>0</b>	<b>7435791</b>	<b>73.3617</b>	<b>0</b>	<b>0.0000</b>

<b>(iii) Change in Promoters' Shareholding (please specify, if there is no change):</b>						<b>NO CHANGE</b>	
SR NO	Name	Shareholding at the beginning of the year		Date	Reason	Cumulative Shareholding during the year	
		No. of Shares	% total Shares of the Company			No of Shares	% total Shares of the Company
1	At the beginning of the year		0.00	01-04-2020	NO CHANGE		0.00
2	Changes during the year		0.00				0.00
4	At the end of the year		0.00	31-04-2021			0.00

Note: There is no change in shares held by Promoters shareholding

<b>(iv) Shareholding Pattern of top ten Shareholders</b>						
SR NO	Name	Shareholding	Date	Increase/Decrease in Shareholding	Reason	Cumulative Shareholding during the year

		No. of Shares At the Beginning (30/03/2019)/ end of the year (31/03/2020)	% total Shares of the Company				No of Shares	% total Shares of the Company
1	SHAGUFTA INVESTMENT PVT.LTD.	120000	1.18	31-Mar-2020		0	120000	1.18
			1.21	01-May-2020	3000	Buy	123000	1.21
			1.24	08-May-2020	3000	Buy	126000	1.24
			1.30	15-May-2020	6000	Buy	132000	1.30
			1.33	22-May-2020	3000	Buy	135000	1.33
			1.36	05-Jun-2020	3000	Buy	138000	1.36
			1.33	10-Jul-2020	-3000	Sell	135000	1.33
			1.36	17-Jul-2020	3000	Buy	138000	1.36
			1.07	24-Jul-2020	-30000	Sell	108000	1.07
			1.10	07-Aug-2020	3000	Buy	111000	1.10
			1.27	14-Aug-2020	18000	Buy	129000	1.27
			1.30	21-Aug-2020	3000	Buy	132000	1.30
			1.36	28-Aug-2020	6000	Buy	138000	1.36
			1.57	11-Sep-2020	21000	Buy	159000	1.57
			1.51	18-Sep-2020	-6000	Sell	153000	1.51
			1.54	02-Oct-2020	3000	Buy	156000	1.54
			1.57	09-Oct-2020	3000	Buy	159000	1.57
			1.60	16-Oct-2020	3000	Buy	162000	1.60
			1.63	06-Nov-2020	3000	Buy	165000	1.63
			1.60	11-Dec-2020	-3000	Sell	162000	1.60
			1.57	15-Jan-2021	-3000	Sell	159000	1.57
			1.60	29-Jan-2021	3000	Buy	162000	1.60
			1.75	19-Feb-2021	15000	Buy	177000	1.75
			1.72	26-Feb-2021	-3000	Sell	174000	1.72
			1.81	05-Mar-2021	9000	Buy	183000	1.81
			1.84	12-Mar-2021	3000	Buy	186000	1.84
			1.86	19-Mar-2021	3000	Buy	189000	1.86
			1.89	26-Mar-2021	3000	Buy	192000	1.89
		192000	1.89	31-Mar-2021	0		192000	1.89
2	HITESHA A BHALODIA	159000	1.57	31-Mar-2020		0	159000	1.57
			1.86	22-May-2020	30000	Buy	189000	1.86
		189000	1.86	31-Mar-2021	0		189000	1.86
3	SUNITA R BHALODIA	126000	1.24	31-Mar-2020		0	126000	1.24
		126000	1.24	31-Mar-2021	0		126000	1.24
4	MEENABEN K BHALODIA	126000	1.24	31-Mar-2020		0	126000	1.24
		126000	1.24	31-Mar-2021	0		126000	1.24
5	AARTI JATIN UPADHYAY	90000	0.89	31-Mar-2020		0	90000	0.89
		90000	0.89	31-Mar-2021	0		90000	0.89
6	BOSCO ARMANDO MENEZES	75000	0.74	31-Mar-2020		0	75000	0.74
		75000	0.74	31-Mar-2021	0		75000	0.74
7	ANIL NARSINHBHAI PATEL	72003	0.71	31-Mar-2020		0	72003	0.71
		72003	0.71	31-Mar-2021	0		72003	0.71
8	DEEPAK AGRAWAL HUF	63000	0.62	31-Mar-2020		0	63000	0.62
			0.33	24-Apr-2020	-30000	Sell	33000	0.33
			0.18	01-May-2020	-15000	Sell	18000	0.18
			0.12	15-May-2020	-6000	Sell	12000	0.12
			0.00	22-May-2020	-12000	Sell	0	0.00
			0.00	31-Mar-2021	0		0	0.00
9	KINJAL KAUSHAL GANDHI	60000	0.59	31-Mar-2020		0	60000	0.59
		60000	0.59	31-Mar-2021	0		60000	0.59
10	RAUNAK ROONGTA	54000	0.53	31-Mar-2020		0	54000	0.53
			0.50	18-Dec-2020	-3000	Sell	51000	0.50
			0.59	15-Jan-2021	9000	Buy	60000	0.59
		60000	0.59	31-Mar-2021	0		60000	0.59
11	MOHAMED IMTIAZ PATEL .	9000	0.09	31-Mar-2020		0	9000	0.09
			0.15	17-Apr-2020	6000	Buy	15000	0.15
			0.21	24-Apr-2020	6000	Buy	21000	0.21
			0.24	15-May-2020	3000	Buy	24000	0.24
			0.27	22-May-2020	3000	Buy	27000	0.27
			0.33	05-Jun-2020	6000	Buy	33000	0.33
			0.38	26-Jun-2020	6000	Buy	39000	0.38
			0.41	28-Aug-2020	3000	Buy	42000	0.41
			0.44	06-Nov-2020	3000	Buy	45000	0.44
			0.50	27-Nov-2020	6000	Buy	51000	0.50
			0.59	11-Dec-2020	9000	Buy	60000	0.59
		60000	0.59	31-Mar-2021	0		60000	0.59
12	KRISHNAMOORTHY ANANDKRISHNAMOORTHY	54000	0.53	31-Mar-2020		0	54000	0.53
		54000	0.53	31-Mar-2021	0		54000	0.53
13	DEEPAK AGRAWAL	54000	0.53	31-Mar-2020		0	54000	0.53
			0.41	31-Jul-2020	-12000	Sell	42000	0.41
			0.18	21-Aug-2020	-24000	Sell	18000	0.18
			0.06	28-Aug-2020	-12000	Sell	6000	0.06
			0.00	04-Sep-2020	-6000	Sell	0	0.00
			0.00	31-Mar-2021	0		0	0.00

<b>(v) Shareholding of Directors and Key Managerial Personnel</b>							
SR NO	Name	Shareholding		Date	Reason	Cumulative Shareholding during the year	
		No. of Shares At the Beginning (30/03/2020)/ end of the year (31/03/2021)	% total Shares of the Company			No of Shares	% total Shares of the Company
1	<b>AMIT V SHETH</b>						
	At the beginning of the year	6845211	67.54	01-04-2020		6845211	67.54
	Changes during the year	0	0.00		No Change		
	At the end of the year	6845211	67.54	31-03-2021		6845211	67.54
2	<b>DEEPALI AMIT SHETH</b>						
	At the beginning of the year	0	0.00	01-04-2020		0	0.00
	Changes during the year	0	0.00			0	0.00
	At the end of the year	0	0.00	31-03-2021		0	0.00

<b>Indebtedness of the Company including interest outstanding/accrued but not due for payment.</b>					(Amt. Rs./Lacs)
Particulars	Secured Loans excluding deposits	Unsecured Loans	Deposits	Total Indebtedness	
<b>Indebtedness at the beginning of the financial year</b>					
i) Principal Amount	403.14	35.50	-	438.64	
ii) Interest due but not paid	-	-	-	-	
iii) Interest accrued but not due	-	-	-	-	
<b>Total (i+ii+iii)</b>	<b>403.14</b>	<b>35.50</b>	<b>-</b>	<b>438.64</b>	
<b>Change in Indebtedness during the financial year</b>					
* Addition			-	-	
* Reduction	26.51	12.38	-	38.89	
<b>Net Change</b>	<b>26.51</b>	<b>(12.38)</b>	<b>-</b>	<b>(38.89)</b>	
<b>Indebtedness at the end of the financial year</b>					
i) Principal Amount	376.63	23.12	-	399.75	
ii) Interest due but not paid	-	-	-	-	
iii) Interest accrued but not due	-	-	-	-	
<b>Total (i+ii+iii)</b>	<b>376.63</b>	<b>23.12</b>	<b>-</b>	<b>399.75</b>	

#### **VI. REMUNERATION OF DIRECTORS AND KEY MANAGERIAL PERSONNEL:**

##### **A. Remuneration to Managing Director, Whole-time Directors and/or Manager:**

SN.	Particulars of Remuneration	Name of MD/WTD/ Manager		Total Amount (Rs/Lac)
		Mr. Amit Sheth Managing Director	Ms. Deepali Sheth Director	
1	Gross salary	39.99	24.01	64.00
	(a) Salary as per provisions contained in section 17(1) of the Income-tax Act, 1961	-	-	-
	(b) Value of perquisites u/s 17(2) Income-tax Act, 1961	-	-	-
	(c) Profits in lieu of salary under section 17(3) Income- tax Act, 1961	-	-	-
2	Stock Option	-	-	-
3	Sweat Equity	-	-	-
4	Commission	-	-	-
	- as % of profit	-	-	-
	- others, specify	-	-	-
5	Others, please specify	-	-	-
	<b>Total (A)</b>	<b>39.99</b>	<b>24.01</b>	<b>64.00</b>
	Ceiling as per the Act	Within limits of Section 197		-

##### **B. Remuneration to other Directors**

SN.	Particulars of Remuneration	Name of Directors:			Total Amount (Rs/Lac)
		Mr. Mahesh Rachh	Mr. Chetan Shah	Ms. Nalini Sheth	
1	Independent Directors				
	Fee for attending board committee meetings	Nil	Nil	Nil	Nil
	Commission				
	Others, please specify				
	Total (1)				
2	Other Non-Executive Directors	Nil	Nil	Nil	Nil
	Fee for attending board committee meetings				
	Commission				
	Others, please specify				
	Total (2)				
	<b>Total (B)=(1+2)</b>	<b>Nil</b>	<b>Nil</b>	<b>Nil</b>	<b>Nil</b>
	<b>Total Managerial Remuneration</b>	<b>Nil</b>	<b>Nil</b>	<b>Nil</b>	<b>Nil</b>
	Overall Ceiling as per the Act				-

C. Remuneration to Key Managerial Personnel other than MD/Manager/WTD						
SN.	Particulars of Remuneration			Name of Key Managerial Personnel		Total Amount (Rs/Lacs)
				Mr. Tarun Ramesh Udeshi	Mr. Nikhil Sunil Arya	
		Name	Mr. Tarun Ramesh Udeshi	Mr. Nikhil Sunil Arya	Ms. Drashti Bhimani	
		Designation	CFO	CS (Resigned w.e.f 07/11/2020)	CS (Appointed w.e.f 13/11/2020)	
1	Gross salary		11.40	2.98	2.32	16.70
	(a) Salary as per provisions contained in section 17(1) of the Income-tax Act, 1961					
	(b) Value of perquisites u/s 17(2) Income-tax Act, 1961					
	(c) Profits in lieu of salary under section 17(3) Income- tax Act, 1961					
2	Stock Option					
3	Sweat Equity					
4	Commission					
	- as % of profit					
5	- others, specify					
	Others, please specify					
	<b>Total</b>		<b>11.40</b>	<b>2.98</b>	<b>2.32</b>	<b>16.7</b>

VII. PENALTIES / PUNISHMENT/ COMPOUNDING OF OFFENCES:					
Type	Section of the Companies Act	Brief Description	Details of Penalty / Punishment/ Compounding fees imposed	Authority [RD / NCLT/ COURT]	Appeal made, if any (give Details)
A. COMPANY					
- Nil -					
Penalty					
Punishment					
Compounding					
B. DIRECTORS					
- Nil -					
Penalty					
Punishment					
Compounding					
C. OTHER OFFICERS IN DEFAULT					
- Nil -					
Penalty					
Punishment					
Compounding					